



EM-Based Statistical Analysis and Yield Estimation Using Linear-Input and Neural-Output Space Mapping

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Outline

- EM-based statistical analysis
- Input Space Mapping
- Linear-Input Neural-Output Space Mapping (LINO-SM)
- LINO-SM approach to yield estimation
- Constrained Broyden-Based Space Mapping
- Training the Output Neuromapping
- Examples
- Conclusions



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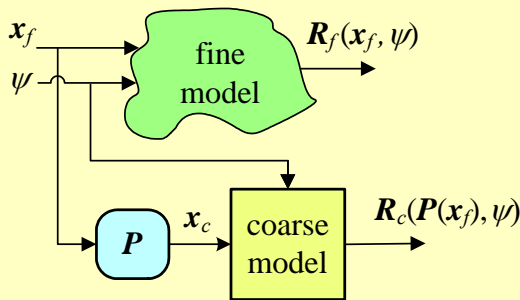
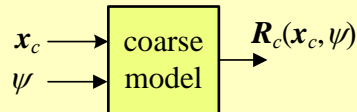
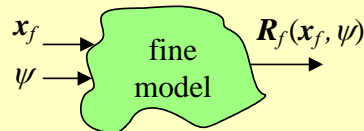
EM-based Statistical Analysis

- Statistical analysis and yield prediction are crucial for manufacturability
- Reliable yield prediction typically requires massive amount of high-fidelity simulations (full-wave EM simulations)
- Performing Monte Carlo yield analysis by directly using EM simulations is not feasible for most practical problems
- We propose using linear-input neural-output space mapping



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Input Space Mapping



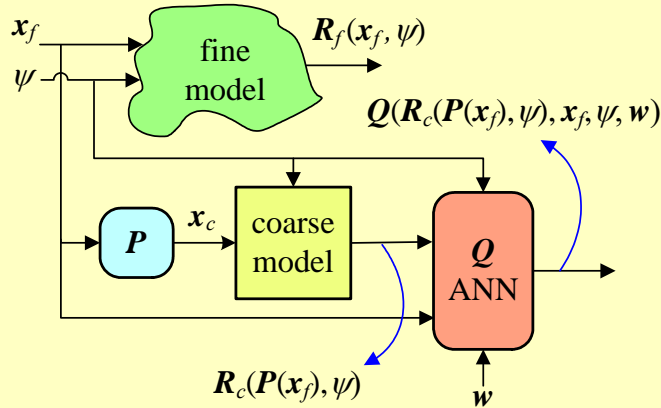
☺ $R_f(\mathbf{x}_f^{SM}, \psi) \approx R_c(\mathbf{x}_c^*, \psi)$

☹ $R_c(P(\mathbf{x}_f^{SM}))$ can not accurately estimate the fine model yield around \mathbf{x}_f^{SM}



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Linear-Input Neural Output Space Mapping



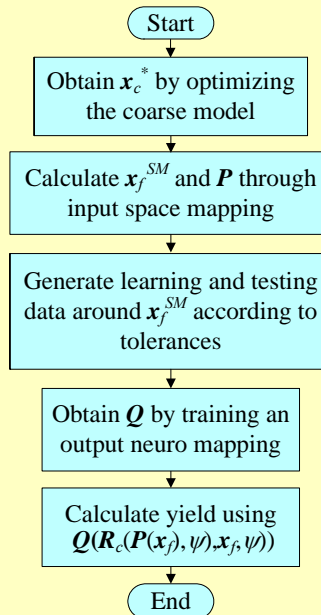
$$Q(R_c(Bx_f + c, \psi), x_f, \psi, w^*) = R_f(x_f, \psi)$$

for all x_f and ψ in the training region



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LINO-SM approach to Yield Estimation



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Obtaining \mathbf{P} and \mathbf{x}_f^{SM}

We apply a constrained Broyden-based algorithm to solve the following system of nonlinear equations

$$\mathbf{f}(\mathbf{x}_f) = \mathbf{P}(\mathbf{x}_f) - \mathbf{x}_c^* = \mathbf{0}$$

where $\mathbf{x}_c = \mathbf{P}(\mathbf{x}_f)$ is evaluated through

$$\mathbf{P}(\mathbf{x}_f) = \arg \min_{\mathbf{x}_c} \left\| \begin{matrix} \mathbf{e}_1^T \\ \dots \\ \mathbf{e}_p^T \end{matrix} \right\|_2^2$$

p is the number of points of the independent variable and the j -th parameter extraction error vector is given by

$$\mathbf{e}_j(\mathbf{x}_f) = \mathbf{R}_{fs}(\mathbf{x}_f, \psi_j) - \mathbf{R}_{cs}(\mathbf{x}_c, \psi_j)$$



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Algorithm for Constrained Broyden-Based SM

```

Begin
  find  $\mathbf{x}_c^*$  solving (1)
   $i = 0, \mathbf{x}_f^{(i)} = \mathbf{x}_c^*, \mathbf{B}^{(i)} = \mathbf{I}, \delta = 0.3$ 
   $\mathbf{f}^{(i)} = \mathbf{P}(\mathbf{x}_f^{(i)}) - \mathbf{x}_c^*$  using (2)
  repeat until stopping_criterion
    solve  $\mathbf{B}^{(i)}\mathbf{h}^{(i)} = -\mathbf{f}^{(i)}$  for  $\mathbf{h}^{(i)}$ 
     $\mathbf{x}_f^{(test)} = \mathbf{x}_f^{(i)} + \mathbf{h}^{(i)}$ 
    while  $\mathbf{x}_f^{(test)} < \mathbf{x}_f^{\min} \vee \mathbf{x}_f^{(test)} > \mathbf{x}_f^{\max}$ 
       $\mathbf{h}^{(i)} = \delta \mathbf{h}^{(i)}$ 
       $\mathbf{x}_f^{(test)} = \mathbf{x}_f^{(i)} + \mathbf{h}^{(i)}$ 
    end
     $\mathbf{x}_f^{(i+1)} = \mathbf{x}_f^{(test)}$ 
     $\mathbf{f}^{(i+1)} = \mathbf{P}(\mathbf{x}_f^{(i+1)}) - \mathbf{x}_c^*$  using (2)
     $\mathbf{B}^{(i+1)} = \mathbf{B}^{(i)} + \frac{\mathbf{f}^{(i+1)}\mathbf{h}^{(i)T}}{\mathbf{h}^{(i)T}\mathbf{h}^{(i)}}$ ,  $i = i + 1$ 
end
    
```

$$(1)$$

$$\mathbf{x}_c^* = \arg \min_{\mathbf{x}_c} U(\mathbf{R}_c(\mathbf{x}_c, \psi))$$

$$(2)$$

$$\mathbf{P}(\mathbf{x}_f) = \arg \min_{\mathbf{x}_c} \left\| \begin{matrix} \mathbf{e}_1^T \\ \dots \\ \mathbf{e}_p^T \end{matrix} \right\|_2^2$$

$$\mathbf{e}_j(\mathbf{x}_f) = \mathbf{R}_{fs}(\mathbf{x}_f, \psi_j) - \mathbf{R}_{cs}(\mathbf{x}_c, \psi_j)$$

$$\mathbf{x}_f^{SM} = \mathbf{x}_f^{(i)}$$

$$\mathbf{P}(\mathbf{x}_f) = \mathbf{B}\mathbf{x}_f + \mathbf{c}$$

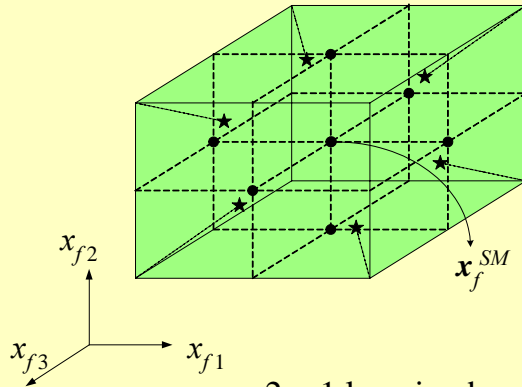
where $\mathbf{B} = \mathbf{B}^{(i)}$ and $\mathbf{c} = \mathbf{x}_c^* - \mathbf{B}\mathbf{x}_f^{SM}$



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Generating Learning and Testing Points

- learning base point
- ★ testing base point



2n+1 learning base points in a star distribution
 2n testing base points in a rotated star distribution



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Training the Output Neuro Mapping

Begin

Generate \mathbf{R}_{CL} , \mathbf{R}_{CT} , \mathbf{R}_{FL} and \mathbf{R}_{FT}

$$\varepsilon_L^{old} = \|\mathbf{R}_{CL} - \mathbf{R}_{FL}\|_F, \quad \varepsilon_T^{old} = \|\mathbf{R}_{CT} - \mathbf{R}_{FT}\|_F$$

$h = m, i = 1$

$$\mathbf{w}^{(i)} = \arg \min_{\mathbf{w}} \|\mathbf{E}_L(\mathbf{w})\|_F$$

$$\varepsilon_L = \|\mathbf{Q}_L(\mathbf{w}^{(i)}) - \mathbf{R}_{FL}\|_F$$

$$\varepsilon_T = \|\mathbf{Q}_T(\mathbf{w}^{(i)}) - \mathbf{R}_{FT}\|_F$$

while $\varepsilon_T^{old} \geq \varepsilon_T \vee \varepsilon_L \geq \varepsilon_T$

$$\varepsilon_T^{old} = \varepsilon_T, \quad \varepsilon_L^{old} = \varepsilon_L, \quad i = i + 1, \quad h = h + 1$$

$$\mathbf{w}^{(i)} = \arg \min_{\mathbf{w}} \|\mathbf{E}_L(\mathbf{w})\|_F$$

$$\varepsilon_L = \|\mathbf{Q}_L(\mathbf{w}^{(i)}) - \mathbf{R}_{FL}\|_F$$

$$\varepsilon_T = \|\mathbf{Q}_T(\mathbf{w}^{(i)}) - \mathbf{R}_{FT}\|_F$$

end

$$\mathbf{w}^* = \mathbf{w}^{(i-1)}$$

end

$$\mathbf{E}_L(\mathbf{w}) = \mathbf{R}_{FL} - \mathbf{Q}_L(\mathbf{w})$$

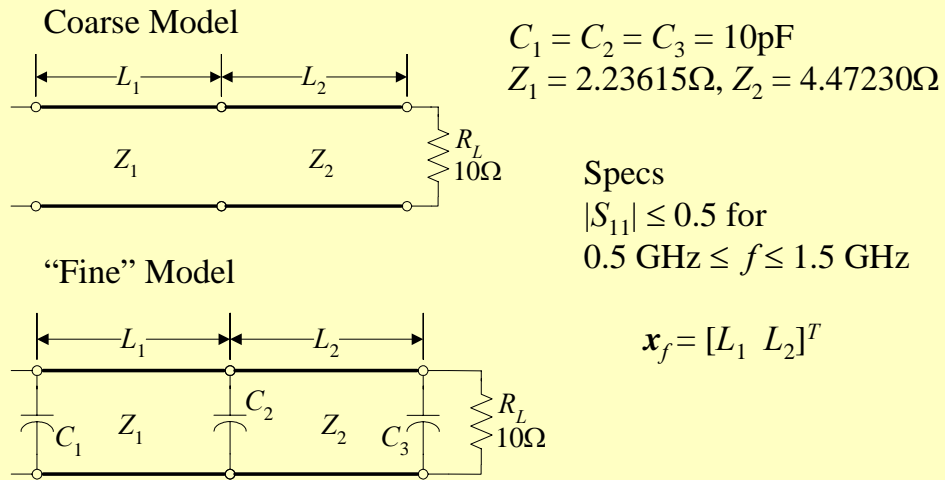
$$\mathbf{Q}(\mathbf{R}_c(\mathbf{B}\mathbf{x}_f + \mathbf{c}, \psi), \mathbf{x}_f, \psi, \mathbf{w}^*) = \mathbf{R}_f(\mathbf{x}_f, \psi)$$

for all \mathbf{x}_f and ψ in the training region



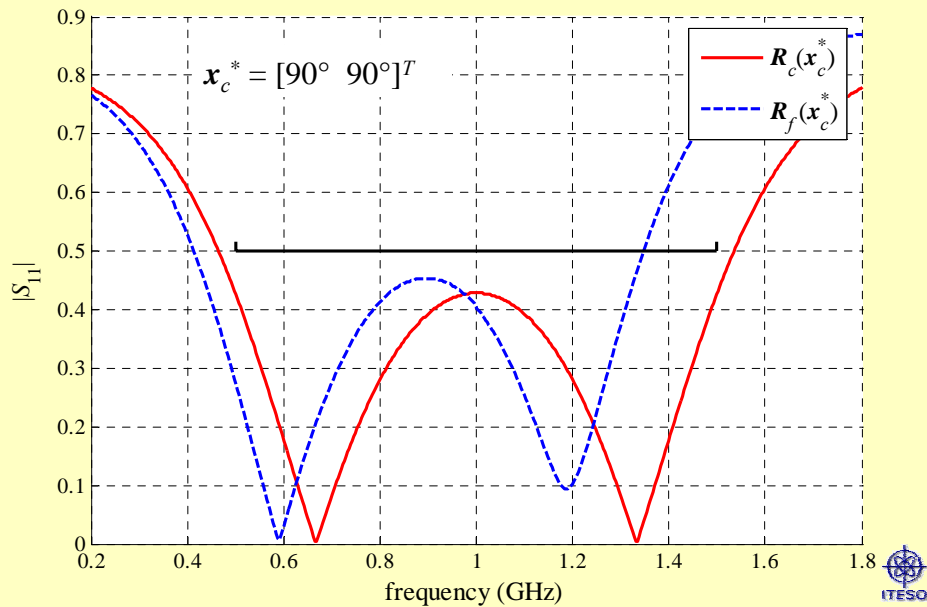
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Two-Section Impedance Transformer



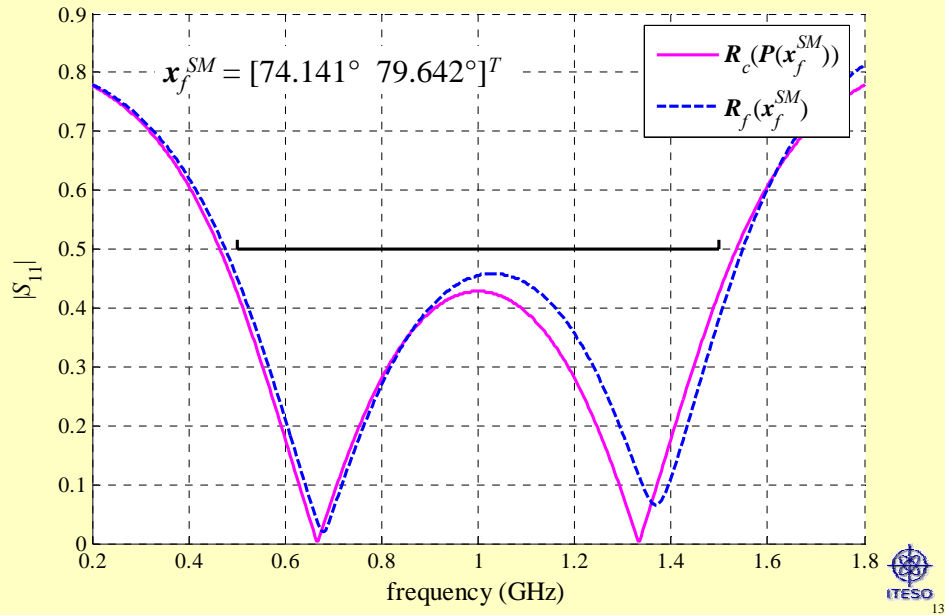
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Impedance Transformer – Starting Point

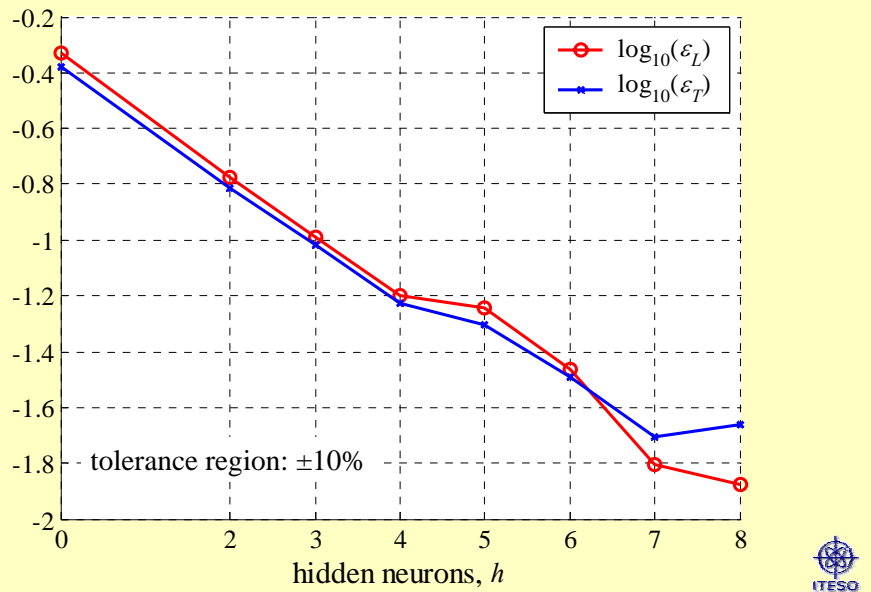


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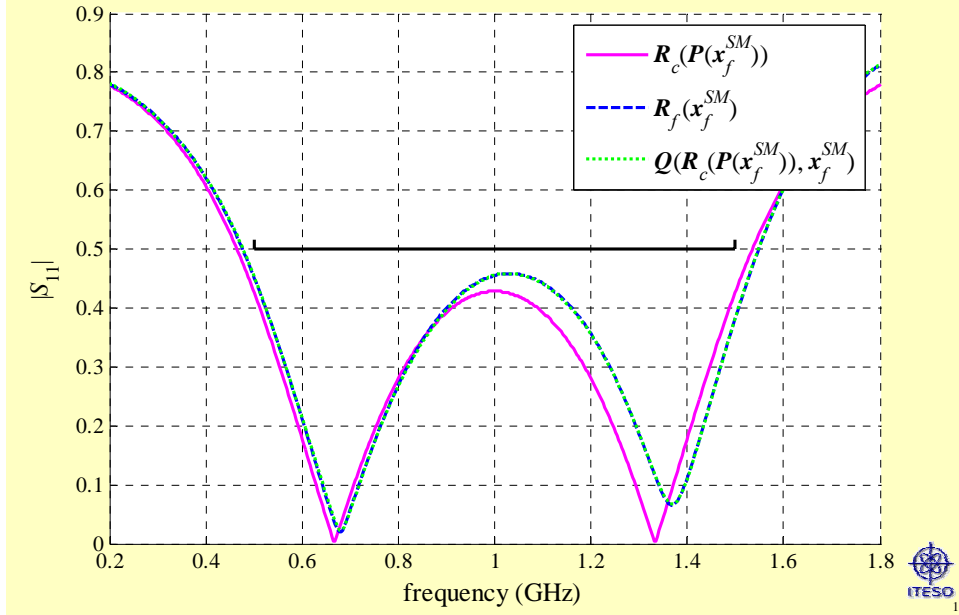
Impedance Transformer – SM Solution



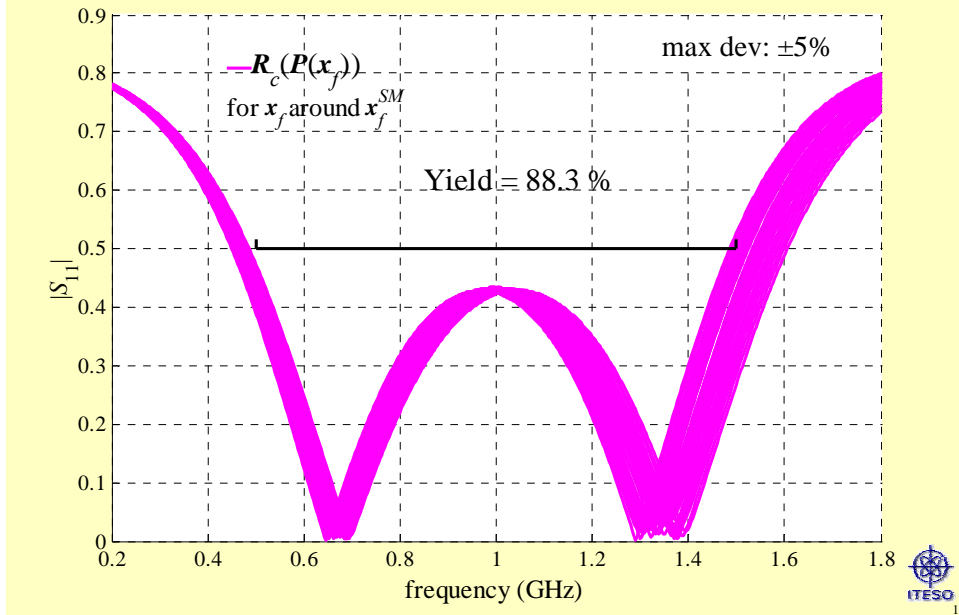
Impedance Transformer – Training Q



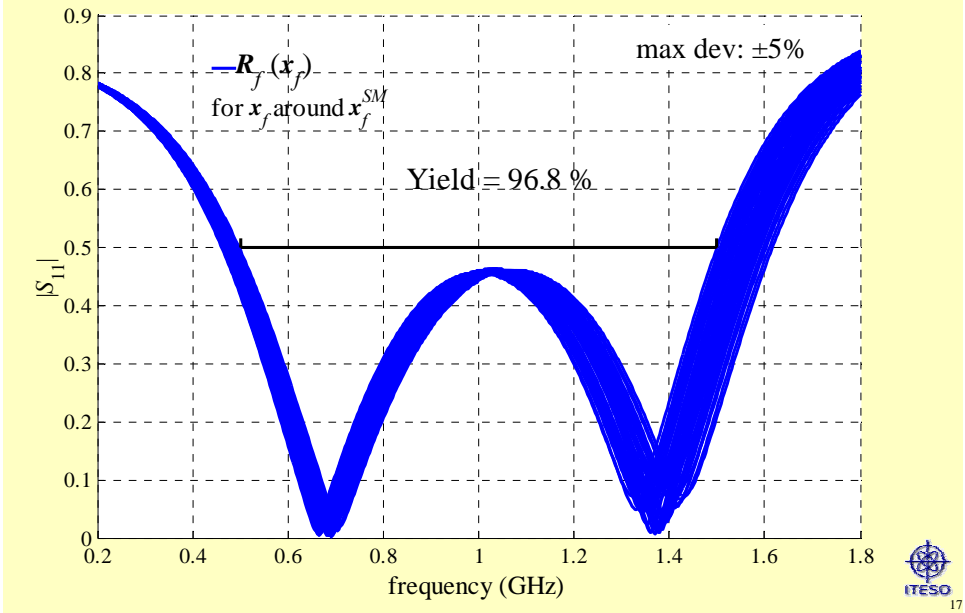
Impedance Transformer – LINOSM Solution



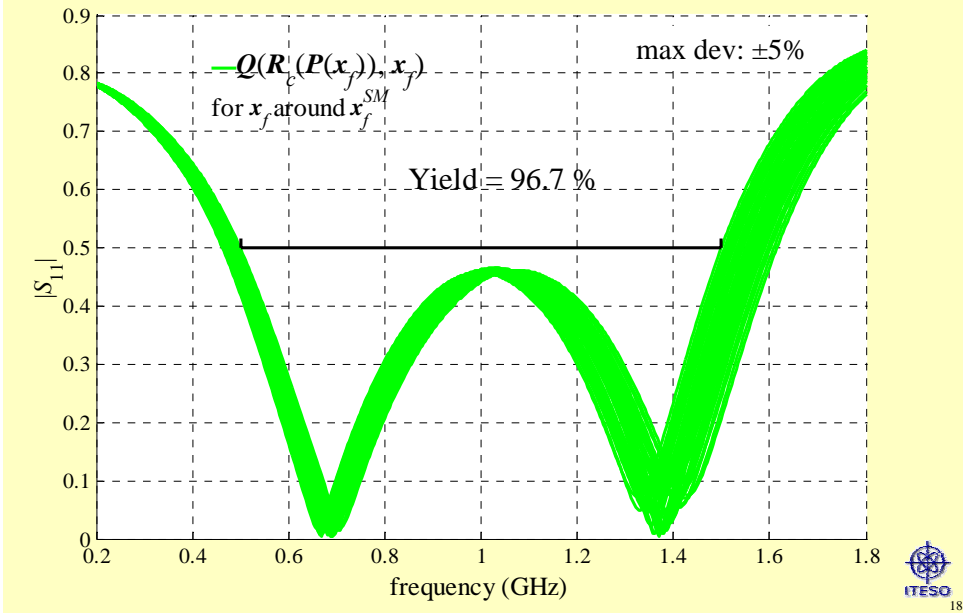
Impedance Transformer – LISM Yield



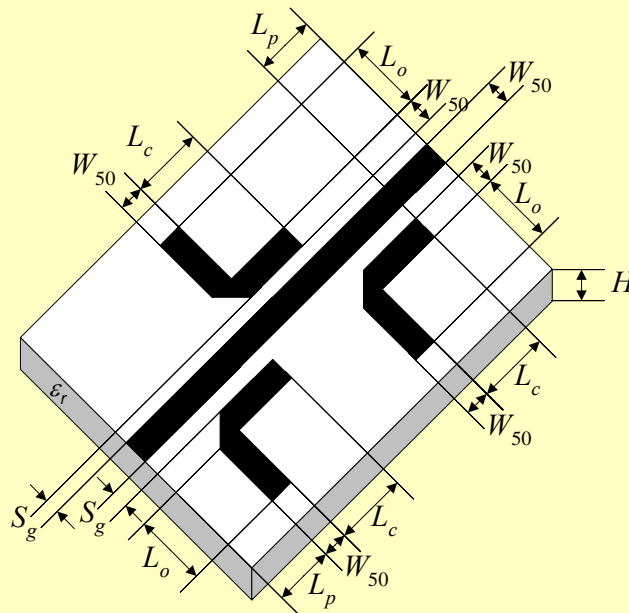
Impedance Transformer – Fine Model Yield



Impedance Transformer – LINOSM Yield



Microstrip Notch Filter



$H = 10\text{mil}$
 $W_{50} = 31\text{mil}$
 $\epsilon_r = 2.2$
 $\text{loss tan} = 0.0009$
 (RT Duroid 5880)

$$\mathbf{x}_f = [L_c \ L_o \ S_g]^T$$



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Microstrip Notch Filter (cont)

Specifications

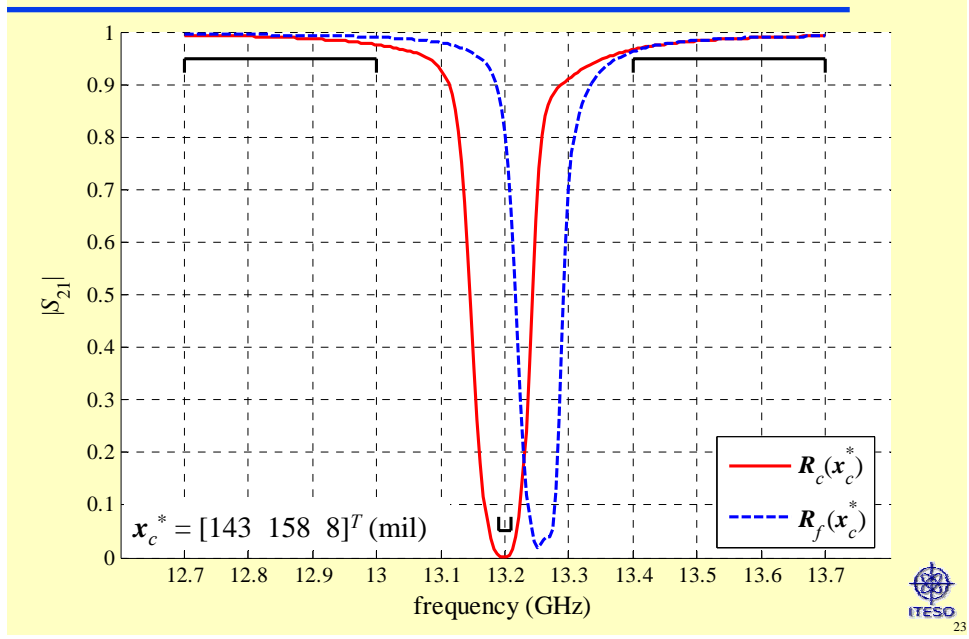
$$|S_{21}| \leq 0.05 \text{ for } 13.19\text{GHz} \leq f \leq 13.21\text{GHz}$$

$$|S_{21}| \geq 0.95 \text{ for } f \leq 13\text{GHz} \text{ and } f \geq 13.4\text{GHz}$$

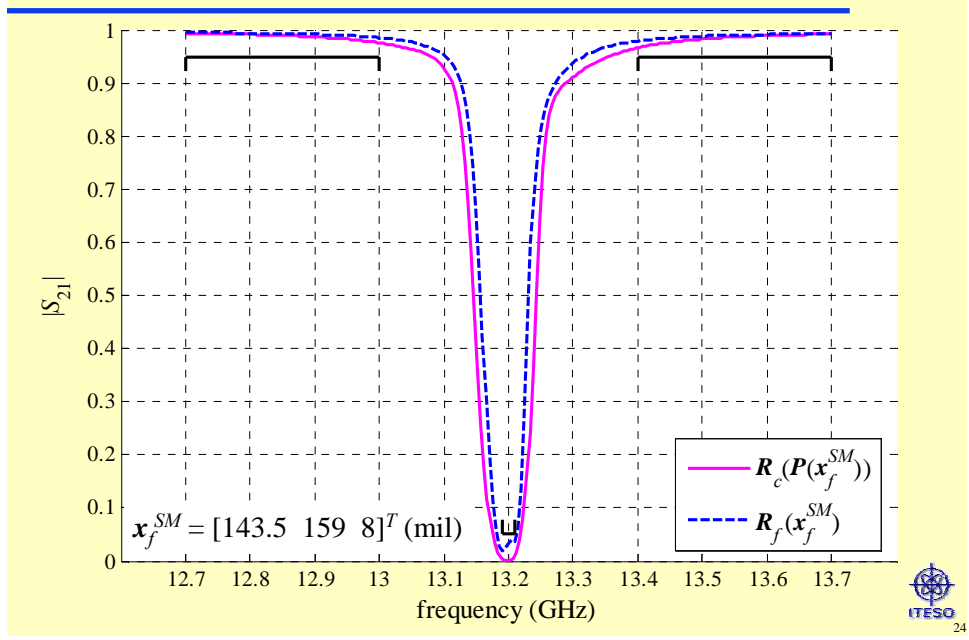


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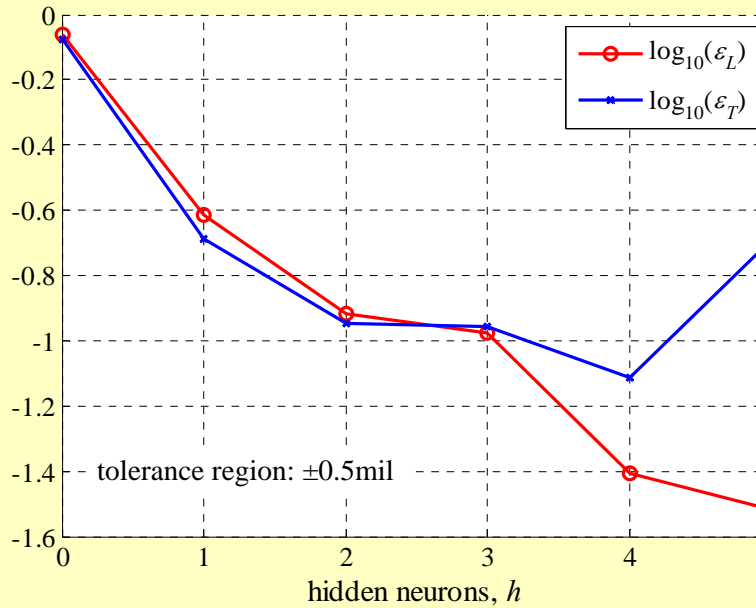
Microstrip Notch Filter – Starting Point



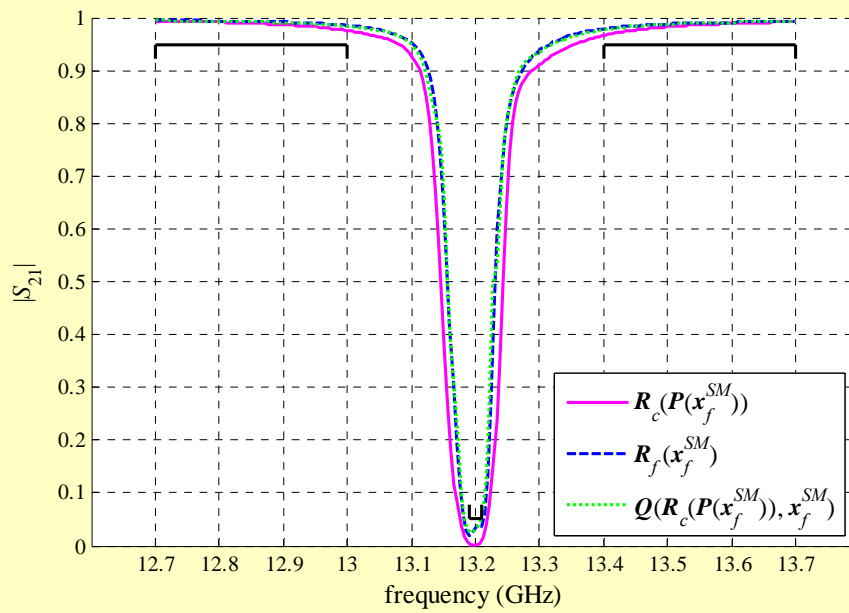
Microstrip Notch Filter – SM Solution



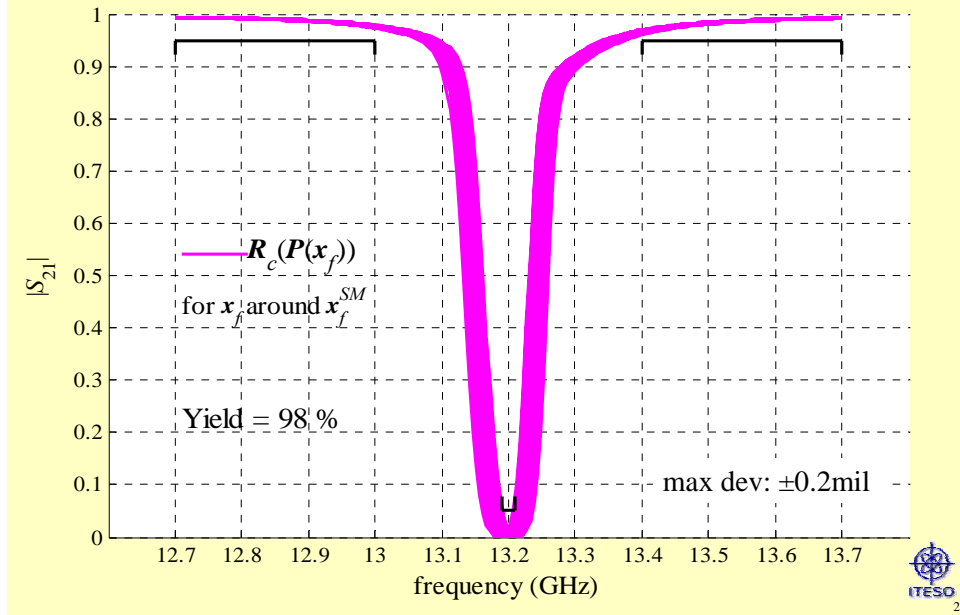
Microstrip Notch Filter – Training Q



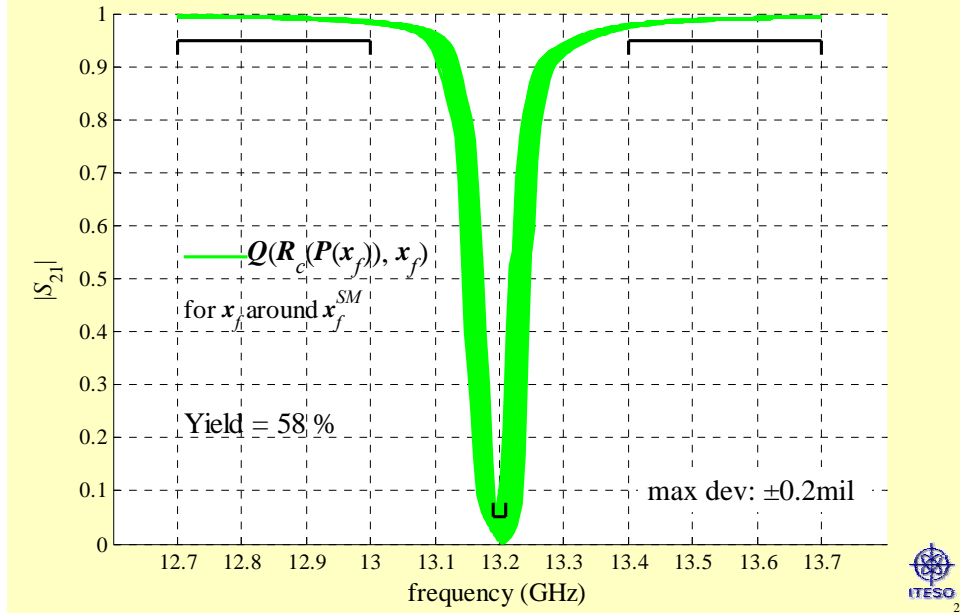
Microstrip Notch Filter – LINOSM Solution



Microstrip Notch Filter – LISM Yield



Microstrip Notch Filter – LINOSM Yield



Conclusions

- We describe a method for highly accurate EM-based statistical analysis and yield estimation of RF and microwave circuits
- It consists of applying a constrained Broyden-based linear-input space mapping, followed by a neural-output space mapping, in which the responses, the design parameters and independent variable are mapped
- The output neuromodel is trained using reduced sets of learning and testing samples
- The resultant linear-input neural-output space mapped model is used as a very efficient vehicle for accurate statistical analysis and yield prediction

